Dear

Freedom of Information Response (Our Ref: K/20/236)

Thank you for your Freedom of Information (FOI) request dated 24 June 2020, reference K/20/236.

Your request read:

1. “Your institution's policy of transparency reporting for research grants and other financial support received by academics and scientists, including the source of such funds in the course of their academic work.
2. Your institution's policy regarding academics' or scientists' acceptance of research grants or other financial support from foreign governments or state-sponsored organisations.
3. The number of instances where an academic or scientist has failed to report the receipt of research grants or other financial support for their work in the last five years, including the number of times a foreign government or state-sponsored organisation was involved.”

The University of Leeds holds some of this information. For your convenience we have responded to each of your questions in turn below.

1. Your institution's policy of transparency reporting for research grants and other financial support received by academics and scientists, including the source of such funds in the course of their academic work.

All research grants are applied for and received by a Principal Investigator via the KRISTAL system on behalf of the University. KRISTAL is a 'one-stop-shop' for research proposal creation and grant management covering both pre and post-award. All grants go through a process of review and approval before they are accepted and cannot be received unless they are processed through KRISTAL.

You can find more information on our Research and Innovation webpages.

2. Your institution's policy regarding academics' or scientists' acceptance of research grants or other financial support from foreign governments or state-sponsored organisations.

A Risk Review is undertaken for all research grant applications and awards before acceptance. Where overseas partners are involved Due Diligence is undertaken before the award is accepted. You can find more information about how we manage international funding on the RIS website.

3. The number of instances where an academic or scientist has failed to report the receipt of research grants or other financial support for their work in the
last five years, including the number of times a foreign government or state-sponsored organisation was involved.

We are not aware of any instances where staff have failed to report the receipt of research grants or other financial support.

We hope this information is helpful. If you have any questions about this email, however, please do not hesitate to contact us on foi@leeds.ac.uk

If you are unhappy with the service you have received in relation to your request and wish to make a complaint or request a review of our decision, you can request an Internal Review. Requests for Internal Review should be made in writing using the following contact information:

Post: Mr D Wardle
Deputy Secretary
The University of Leeds
Leeds
LS2 9JT

Email: foi@leeds.ac.uk

Requests for Internal Review should be submitted within 40 working days of receiving the University’s response to your request. Further information about how the University manages Freedom of Information requests and about our complaints procedure is also available on our website (www.leeds.ac.uk).

If you are not content with the outcome of the internal review, you have the right to apply directly to the Information Commissioner for a decision. Generally, the ICO cannot make a decision unless you have exhausted the review/complaints procedure provided by the University. The Information Commissioner can be contacted at: Information Commissioner’s Office, Wycliffe House, Water Lane, Wilmslow, Cheshire, SK9 5AF.

Yours sincerely

Chloe Wilkins
Freedom of Information Officer

Secretariat
University of Leeds