Dear

Freedom of Information Response (Our Ref: K/21/409)

Thank you for your Freedom of Information (FOI) request dated 20 August 2021, reference K/21/409.

Your request read:

“1. Who is the SIRO / Senior Information Risk Owner, or equivalent. A name and job title, or if they are below the disclosable level just a job title is fine, could you also provide a contact email for this person.

If you do not have a nominated SIRO could you please answer Q1 with the person(s) with responsibilities equivalent to a SIRO.

“A Senior Information Risk Owner (SIRO) is an Executive Director or member of the Senior Management Board of an organisation with overall responsibility for an organisation’s information risk policy. The SIRO is accountable and responsible for information risk across the organisation. They ensure that everyone is aware of their personal responsibility to exercise good judgement, and to safeguard and share information appropriately.”

2. Who is your DPO (Data Protection Officer) or responsible person for DPO duties

3. Who has overall responsibility for Cyber security or equivalent (as in, the person).

4. Who has overall responsibility for information security or equivalent (as in, the person).

5. Who has overall responsibility for information Governance or equivalent (as in, the person).

6. Do you have appointed Information Asset Owner's (IAO's)

7. If the answer to Q6 is yes, how often are they trained and who is responsible for organising the training? (as in, the person)

8. Are you or have you considered becoming ISO 27001 compliant or certified? If so whom is responsible for the project? (as in, the person)
9. How is 3rd Party supplier risk assurance managed, and who is responsible for assuring, or owning the risk, if relevant (as in, the person)

10. Approximately when did you last conduct a Physical Security risk assessment of the Estate(s), and who is responsible for managing risk in this area? (as in, the person)’

The University of Leeds holds some of this information.

1. Who is the SIRO / Senior Information Risk Owner, or equivalent. A name and job title, or if they are below the disclosable level just a job title is fine, could you also provide a contact email for this person.

The University does not have a named SIRO, but the responsibilities of the position are currently shared at Executive level between the University Secretary, Roger Gair, and the interim Chief Operating Officer, Dennis Hopper.

2. Who is your DPO (Data Protection Officer) or responsible person for DPO duties

The University’s Data Protection Officer is Dr Alice Temple.

3. Who has overall responsibility for Cyber security or equivalent (as in, the person).

Our Chief Information Security Office (CISO) is Adam Toulson

4. Who has overall responsibility for information security or equivalent (as in, the person).

David West, Interim Director IT

5. Who has overall responsibility for information Governance or equivalent (as in, the person).

Roger Gair.

6. Do you have appointed Information Asset Owner's (IAO’s)

No

7. If the answer to Q6 is yes, how often are they trained and who is responsible for organising the training? (as in, the person)

Not applicable

8. Are you or have you considered becoming ISO 27001 compliant or certified? If so whom is responsible for the project? (as in, the person)
We are ISO 27001 certified. The role with responsibility for this ISO certification is the Leeds Institute for Data Analytics (LIDA) Information Governance manager. However, this position is currently vacant, and the responsibilities are currently being covered by Philip Hobley, IT Assurance Manager.

9. How is 3rd Party supplier risk assurance managed, and who is responsible for assuring, or owning the risk, if relevant (as in, the person)

There is no single person or role responsible for this.

10. Approximately when did you last conduct a Physical Security risk assessment of the Estate(s), and who is responsible for managing risk in this area? (as in, the person)

The University estate is assessed for security on a daily basis. Jo Hynes, Deputy Director Estates and Facilities, is overall risk owner.

We hope this information is helpful. If you have any questions about this email, however, please do not hesitate to contact us on foi@leeds.ac.uk

If you are unhappy with the service you have received in relation to your request and wish to make a complaint or request a review of our decision, you can request an Internal Review. Requests for Internal Review should be made in writing using the following contact information:

Post: Mr D Wardle
Deputy Secretary
The University of Leeds
Leeds
LS2 9JT

Email: foi@leeds.ac.uk

Requests for Internal Review should be submitted within 40 working days of receiving the University’s response to your request. Further information about how the University manages Freedom of Information requests and about our complaints procedure is also available on our website (www.leeds.ac.uk).

If you are not content with the outcome of the internal review, you have the right to apply directly to the Information Commissioner for a decision. Generally, the ICO cannot make a decision unless you have exhausted the review/complaints procedure provided by the University. The Information Commissioner can be contacted at: Information Commissioner’s Office, Wycliffe House, Water Lane, Wilmslow, Cheshire, SK9 5AF.

Sincerely

Chloe Wilkins
Freedom of Information Officer